

Express Number ER408662598US

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Depositor: Karen Cing-Mars

Karen Cing-Mars 10/27/03  
(Signature & date)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of \_\_\_\_\_: October 27, 2003  
Gregg M. Gallatin et al. \_\_\_\_\_: Group Art Unit:  
Serial No. \_\_\_\_\_: Examiner:  
Filed: \_\_\_\_\_: International Business Machines Corporation  
2070 Route 52  
Hopewell Junction, NY 12533

**TITLE:** INCORPORATION OF PERFORMANCE IN MODEL-BASED OPC ENGINE  
UTILIZING EFFICIENT POLYGON PINNING METHOD

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or non-pertinency of the art, that better art than that listed is not available, or that other art is not applicable.

Respectfully submitted,  
Gregg M. Gallatin, et al.

BY

Steven Capella  
Steven Capella, Attorney  
Registration No. 33,086  
Telephone No. 845-894-3669

FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: FIS920030109US1	SERIAL NO.:
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT: Gregg M. Gallatin et al.	
(Use several sheets if necessary) (37 CFR 1.98(b))		FILING DATE:	GROUP:

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5,644,390	07/01/97	Yasuzato			
	AB	5,647,027	07/08/97	Burges et al.			
	AC	5,680,588	10/21/97	Gortych et al.			
	AD	6,127,071	10/03/00	Lu			
	AE	6,223,139	04/24/01	Wong et al.			
	AF	6,233,056	05/15/01	Naulleau et al.			
	AG	6,263,299	07/17/01	Aleshin et al.			
	AH	6,289,499	09/11/01	Rieger et al.			
	AI	6,303,253	10/16/01	Lu			
	AJ	6,415,421	07/02/02	Anderson et al.			
	AK	6,425,113	07/23/02	Anderson et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	
	AR	
	AS	
EXAMINER		DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

<b>FORM PTO-1449</b> <b>(Modified)</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary) (37 CFR 1.98(b))	<b>ATTY. DOCKET NO.:</b> FIS920030109US1	<b>SERIAL NO</b>
	<b>APPLICANT:</b> Gregg M. Gallatin et al.	
	<b>FILING DATE:</b>	<b>GROUP:</b>

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIA TE
	AT	6,449,387	09/10/02	Inui			
	AU	6,453,457	09/17/02	Pierrat et al.			
	AV	6,460,997	10/08/02	Frey et al.			
	AW	6,487,696	11/26/02	Gudmundsson et al.			
	AX						
	AY						
	AZ						

EXAMINER INITIAL		PATENT PUBLICATION NUMBER	PUBLICATION DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIA TE
	BA	US2002/0062206A1	05/23/02	Liebchen			
	BB	US2002/0126267A1	09/12/02	Smith			
	BC	US2002/0159040A1	10/31/02	Hamatani et al.			
	BD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	BE							
	BF							
	BG							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	BH	
	BI	

EXAMINER	DATE CONSIDERED
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